# **QUALIFYING EXAMINATION, Part 2**

#### Solutions

# Problem 1: Quantum Mechanics I

(a) Take  $|n\rangle$  to be the true energy eigenstates of H

$$H|n\rangle = E_n|n\rangle$$
,

with eigenvalues  $E_n$  (n = 0, 1, ...). Since  $E_0$  is the ground-state energy,  $E_0 \leq E_n$ . Then

$$\langle \psi | H | \psi \rangle = \sum_{n} E_{n} |\langle n | \psi \rangle|^{2} \ge E_{0} \sum_{n} |\langle n | \psi \rangle|^{2} = E_{0} ,$$

where we have used the fact that  $\psi$  is normalized,  $\sum_n |\langle n|\psi\rangle|^2=1.$ 

(b) The trial wave function is spherically symmetric and thus has l = 0. The Hamiltonian of the hydrogen atom is rotationally invariant, and thus l is a good quantum number. In using the variational method, it is advantageous to choose a trial wave function that has the same values of the good quantum numbers as the exact ground state. Since the true ground state has l=0, we choose a trial wave function that also has good l=0.

(c) The expectation value of V is

$$\langle \psi | V | \psi \rangle = \frac{1}{N^2} \int_0^\infty \left( -\frac{e^2}{r} \right) e^{-2\alpha r^2} r^2 dr = -\frac{1}{N^2} \frac{e^2}{4\alpha} ,$$

where

$$N^{2} = \int_{0}^{\infty} e^{-2\alpha r^{2}} r^{2} dr = \frac{1}{8\alpha} \sqrt{\frac{\pi}{2\alpha}} .$$

Using the given result for the expectation value of T, we find

$$E(\alpha) = \langle \psi | T | \psi \rangle + \langle \psi | V | \psi \rangle = \frac{3\hbar^2 \alpha}{2m} - 2e^2 \sqrt{\frac{2\alpha}{\pi}} \,.$$

(d) To find the best variational estimate for the given Gaussian trial wave function, we minimize  $E(\alpha)$  with respect to  $\alpha$ . The first derivative

$$\frac{dE}{d\alpha} = \frac{3\hbar^2}{2m} - e^2 \sqrt{\frac{2}{\pi\alpha}}$$

vanishes for

$$\alpha = \alpha_{\min} = \frac{8}{9\pi} \left(\frac{me^2}{\hbar^2}\right)^2 \;.$$

It is easy to verify that  $\frac{d^2 E(\alpha)}{d\alpha^2} = e^2 \sqrt{\frac{1}{2\pi\alpha^3}} > 0$ , and thus  $E(\alpha)$  has a minimum at  $\alpha_{\min}$ . The variational estimate for the energy is then

$$E_{\min} = E\left(\alpha_{\min}\right) = -\frac{8}{3\pi} \frac{me^4}{2\hbar^2} .$$

This value differs from the exact ground-state energy of  $-\frac{me^4}{2\hbar^2}$  by only a factor of  $\frac{8}{3\pi} \approx 0.85$ .

# **Problem 2: Quantum Mechanics II**

(a) We have

$$\hat{H}_{JC} \left| 0, g \right\rangle = \left( \hat{H}_o + \hat{H}_a + \hat{H}_{int} \right) \left| 0, g \right\rangle = \left( \frac{1}{2} \hbar \omega_o + 0 + 0 \right) \left| 0, g \right\rangle = \frac{1}{2} \hbar \omega_o \left| 0, g \right\rangle$$

Thus  $|0,g\rangle$  is an eigenstate of  $\hat{H}_{JC}$  with eigenvalue  $\frac{1}{2}\hbar\omega_o$ .

(b) To show that  $\hat{N}$  is conserved, we verify that it commutes with  $\hat{H}_{JC}$ 

$$\begin{split} \left[ \hat{H}_{JC}, \hat{N} \right] &= \left[ \hat{H}_o, \hat{N} \right] + \left[ \hat{H}_a, \hat{N} \right] + \left[ \hat{H}_{int}, \hat{N} \right] \\ &= 0 + 0 + \kappa \hat{a} \left[ \hat{\sigma}^+, \left| e \right\rangle \left\langle e \right| \right] + \kappa \hat{a}^\dagger \left[ \hat{\sigma}^-, \left| e \right\rangle \left\langle e \right| \right] + \kappa \left[ \hat{a}, \hat{n} \right] \hat{\sigma}^+ + \kappa \left[ \hat{a}^\dagger, \hat{n} \right] \hat{\sigma}^- \\ &= -\kappa \hat{a} \hat{\sigma}^+ + \kappa \hat{a}^\dagger \hat{\sigma}^- + \kappa \hat{a} \hat{\sigma}^+ - \kappa \hat{a}^\dagger \hat{\sigma}^- = 0 \end{split}$$

(c) We verify that  $|n,g\rangle$  and  $|n,e\rangle$  are eigenstates of  $\hat{N}$ 

$$\hat{N} |n, g\rangle = \Lambda_{n,g} |n, g\rangle$$
$$\hat{N} |n, e\rangle = \Lambda_{n,e} |n, e\rangle,$$

with eigenvalues  $\Lambda_{n,g} = n$  and  $\Lambda_{n,e} = n + 1$  for  $n = 0, 1, \cdots$ .

For the eigenvalue  $\Lambda_{0,g} = 0$ , there is only one eigenstate  $|0,g\rangle$ . For the eigenvalue  $\Lambda_{n,g} = \Lambda_{n-1,e} = n$  with n = 1, 2..., there is a two-fold degeneracy associated with eigenstates  $|n,g\rangle$  and  $|n-1,e\rangle$ .

(d) Since  $\left[\hat{H}_{JC}, \hat{N}\right] = 0$ ,  $\hat{H}_{JC}$  can be represented as a block diagonal matrix in the eigenbasis associated with  $\hat{N}$ . More specifically,  $\hat{H}_{JC}$  has the block diagonal form

$$\hat{H}_{JC} = \begin{pmatrix} (H_0)_{1 \times 1} & & & \\ & (H_1)_{2 \times 2} & & & \\ & & (H_2)_{2 \times 2} & & \\ & & & \ddots & \\ & & & & (H_k)_{2 \times 2} & \\ & & & & & \ddots \end{pmatrix}$$

in the basis of  $\{|0,g\rangle, |0,e\rangle, |1,g\rangle, |1,e\rangle, \cdots\}$ . According to part (a), we have  $H_0 = \frac{1}{2}\hbar\omega_o$ . For  $n = 1, 2, \ldots$ , the 2×2 diagonal block associated with the basis states  $\{|n - 1, e\rangle, |n, g\rangle\}$  is

$$(H_n)_{2\times 2} = \begin{pmatrix} \left(n - \frac{1}{2}\right)\hbar\omega_o + \hbar\omega_a & \hbar\kappa\sqrt{n} \\ \hbar\kappa\sqrt{n} & \left(n + \frac{1}{2}\right)\hbar\omega_o \end{pmatrix}$$
$$= \begin{pmatrix} n\hbar\omega_o + \frac{1}{2}\hbar\omega_a & 0 \\ 0 & n\hbar\omega_o + \frac{1}{2}\hbar\omega_a \end{pmatrix} + \begin{pmatrix} -\frac{1}{2}\hbar\delta & \hbar\kappa\sqrt{n} \\ \hbar\kappa\sqrt{n} & +\frac{1}{2}\hbar\delta \end{pmatrix}$$

with  $\delta = \omega_o - \omega_a$ .

The eigenvalues of  $\hat{H}_{JC}$  can be computed by finding the eigenvalues of these  $2\times 2$  matrices.

### Statistical Mechanics I

(a) There are  $\frac{N!}{n_1!n_2!}$  many-particle states with  $n_1$  particles in the state with energy 0 and  $n_2$  particles in the excited state  $\epsilon$ . The energy of each such many-particle state is  $n_1 \cdot 0 + n_2 \epsilon = n_2 \epsilon$  The partition function Z is then given by

$$Z = \sum_{\substack{n_1, n_2\\n_1+n_2=N}} \frac{N!}{n_1! n_2} e^{-\beta n_2 \epsilon} = (1 + e^{-\beta \epsilon})^N ,$$

where  $\beta = 1/k_B T$ . This result can also be derived from  $Z = z^N$ , where  $z = \sum_i e^{-\beta \epsilon_i}$  is the single-particle partition function (this holds for a system of N non-interacting distinguishable particles). The given system has two levels, 0 and  $\epsilon$ , so that  $z = 1 + e^{-\beta \epsilon}$ .

(b) The energy of the system is found from

$$E = -\frac{\partial \ln Z}{\partial \beta} = N \frac{\epsilon}{e^{\beta \epsilon} + 1} \; .$$

The heat capacity is then

$$C = \frac{dE}{dT} = Nk_B \left(\frac{\epsilon}{k_B T}\right)^2 \frac{e^{\beta\epsilon}}{(e^{\beta\epsilon} + 1)^2} \,.$$

In the high-temperature limit  $kT \gg \epsilon$ ,  $\beta \epsilon \rightarrow 0$  and

$$C \approx \frac{1}{4} N k_B \left(\frac{\epsilon}{kT}\right)^2 \;.$$

(c) The entropy can be calculated from  $S = -\partial F/\partial T$ , where  $F = -k_B T \ln Z$  is the free energy. Using  $F = -Nk_B T \ln(1 + e^{-\beta\epsilon})$ , we find

$$S = Nk_B \ln(1 + e^{-\beta\epsilon}) + \frac{Nk_B T e^{-\beta\epsilon}}{1 + e^{-\beta\epsilon}} \epsilon \frac{1}{k_B T^2}$$
  
=  $Nk_B \ln(1 + e^{-\beta\epsilon}) + \frac{N\epsilon}{T} \frac{e^{-\beta\epsilon}}{1 + e^{-\beta\epsilon}} = Nk_B \left[ \ln(1 + e^{-\beta\epsilon}) + \beta\epsilon \frac{e^{-\beta\epsilon}}{1 + e^{-\beta\epsilon}} \right].$ 

Another way to calculate the entropy is to use F = E - TS and the known expressions for F and E.

At the high-temperature limit,  $\beta \epsilon \to 0$ , and

$$S = Nk_B \ln 2 \; .$$

This entropy is just  $k_B \ln \Omega$  where  $\Omega = 2^N$  is the total number of states.

In the limit  $T \to 0, \, \beta \epsilon \to \infty, \, (\beta \epsilon) e^{-\beta \epsilon} \to 0$  and

S=0 .

At T = 0, the system is in its non-degenerate ground state and S = 0.

(d) To calculate  $\langle n_2 \rangle$ , we use

$$\langle n_2 \rangle = -\frac{\partial}{\partial(\beta\epsilon)} \ln Z = N \frac{e^{-\beta\epsilon}}{1 + e^{-\beta\epsilon}} \,.$$

 $\langle n_1 \rangle$  is determined from  $\langle n_1 \rangle = N - \langle n_2 \rangle$ . We find

$$\langle n_1 \rangle = N \frac{1}{1 + e^{-\beta\epsilon}} \; .$$

Using the expression for the entropy in part (c) and the above expressions for the occupations, one finds after some algebra

$$S = -Nk_B \left(\frac{\langle n_1 \rangle}{N} \ln \frac{\langle n_1 \rangle}{N} + \frac{\langle n_2 \rangle}{N} \ln \frac{\langle n_2 \rangle}{N}\right) \,.$$

### **Problem 4: Statistical Mechanics II**

(a) A photon with momentum  $\vec{p} = \hbar \vec{k}$  has energy  $\epsilon_{\vec{k}} = \hbar \omega_{\vec{k}} = \hbar c |\vec{k}|$ . Imposing periodic boundary conditions, the allowed values of  $\vec{k}$  are  $\vec{k} = \frac{2\pi}{L}\vec{n}$  with  $\vec{n} = (n_x, n_y)$  having integer components. Thus,  $\omega_{\vec{k}} = c\frac{2\pi}{L}\sqrt{n_x^2 + n_y^2}$ .

To determine the density of states, we consider the number of possible photon states in a volume  $d^2\vec{k}$  of momentum space. The number of allowed momentum values between  $\vec{k}$  and  $\vec{k} + d\vec{k}$  are

$$\frac{L^2}{(2\pi)^2} d^2 \vec{k} = \frac{L^2}{2\pi} k dk = \frac{L^2}{2\pi c^2} \omega d\omega.$$

Thus the density of states of photons with frequency  $\omega$  is

$$g(\omega) = \frac{A}{2\pi c^2}\omega.$$

(b) Writing  $\beta = 1/k_B T$ , the grand-canonical quantum partition function is

$$Z(T) = \prod_{\vec{k}} \sum_{n_{\vec{k}}=0}^{\infty} e^{-\beta \epsilon_{\vec{k}} n_{\vec{k}}} = \prod_{\vec{k}} \frac{1}{1 - e^{-\beta \epsilon_{\vec{k}}}},$$

where  $n_{\vec{k}}$  is the number of photons with wavenumber  $\vec{k}$ , giving

$$\ln Z(T) = -\sum_{\vec{k}} \ln(1 - e^{-\beta \epsilon_{\vec{k}}}).$$

This is just the partition function of non-interacting bosons with a chemical potential  $\mu = 0$ . For photons  $\mu = 0$  since their number N is not fixed a priori but is determined from the equilibrium condition  $\mu = \partial F / \partial N|_{T,A} = 0$ .

For large area, the photon spectrum becomes quasi-continuous, and the sum above can be approximated as an integral over  $\omega$  using the density of states:

$$\ln Z(T) \approx -\int_0^\infty d\omega g(\omega) \ln(1 - e^{-\beta\hbar\omega}) = -\frac{A}{2\pi c^2} \int_0^\infty d\omega \omega \ln(1 - e^{-\beta\hbar\omega}).$$

(c) The total energy is

$$U = -\frac{\partial \ln Z}{\partial \beta} = \frac{\hbar A}{2\pi c^2} \int_0^\infty d\omega \frac{\omega^2 e^{\beta\hbar\omega}}{1 - e^{-\beta\hbar\omega}}.$$

The total energy can also be derived from

$$U = \sum_{\vec{k}} \epsilon_{\vec{k}} \langle n_{\vec{k}} \rangle \approx \int_0^\infty d\omega g(\omega) \hbar \omega \langle n_\omega \rangle ,$$

where

$$\langle n_{\omega} \rangle = -\frac{\partial}{\partial(\beta\hbar\omega)} \ln Z(T) = \frac{1}{e^{\beta\hbar\omega} - 1}$$

is the average occupation in a photon state with frequency  $\omega$ .

Thus, in two dimensions the spectral energy density is

$$u(\omega,T) = \frac{\hbar}{2\pi c^2} \frac{\omega^2}{e^{\beta\hbar\omega} - 1}.$$

Substituting in the integral  $x = \beta \hbar \omega$ , the total energy density is

$$\frac{U}{A} = \frac{k_B^3 T^3}{2\pi c^2 \hbar^2} \int_0^\infty dx \frac{x^2}{e^x - 1} = \frac{k_B^3}{\pi c^2 \hbar^2} \zeta(3) T^3,$$

where we have represented the dimensionless integral using the Riemann  $\zeta$  function

$$\zeta(n+1) = \frac{1}{n!} \int_0^\infty dx \frac{x^n}{e^x - 1}.$$

(d) First derivation of entropy: at fixed area

$$dS = \frac{1}{T}dU = \frac{1}{T}\left(\frac{3Ak_B^3\zeta(3)}{\pi c^2\hbar^2}\right)T^2dT,$$

so the total entropy is given by integrating

$$S = \left(\frac{3Ak_B^3\zeta(3)}{\pi c^2\hbar^2}\right)\int TdT = \left(\frac{3Ak_B^3\zeta(3)}{2\pi c^2\hbar^2}\right)T^2.$$

Here we have fixed the constant of integration by demanding that the entropy vanishes at T = 0, since the system has a unique ground state.

Second derivation of entropy: consider the free energy  $F = -k_B T \ln Z$ , in which case

$$S = -\frac{\partial F}{\partial T}\Big|_{A} = -\frac{\partial}{\partial T}\frac{Ak_{B}T}{2\pi c^{2}}\int_{0}^{\infty}d\omega\omega\ln(1-e^{-\beta\hbar\omega})$$
$$= -\frac{\partial}{\partial T}\frac{Ak_{B}^{3}T^{3}}{2\pi c^{2}\hbar^{2}}\int_{0}^{\infty}dxx\ln(1-e^{-x}) = \left(\frac{3Ak_{B}^{3}T^{2}}{2\pi c^{2}\hbar^{2}}\right)\left[-\int_{0}^{\infty}dxx\ln(1-e^{-x})\right]$$

where the integral in brackets in also equal to  $\zeta(3)$  upon integration by parts.

On the other hand, the total number of photons is given by

$$N \approx \int_0^\infty d\omega g(\omega) \langle n_\omega \rangle = \frac{A}{2\pi c^2} \int_0^\infty d\omega \omega \frac{1}{e^{\beta\hbar\omega} - 1} = \frac{A}{2\pi\beta^2 c^2\hbar^2} \int_0^\infty dx \frac{x}{e^x - 1} = \frac{A}{2\pi\beta^2 c^2\hbar^2} \zeta(2).$$

Then the entropy per photon is given by

$$\frac{S}{N} = \left(\frac{3Ak_B^3\zeta(3)T^2}{2\pi c^2\hbar^2}\right) \left(\frac{Ak_B^2\zeta(2)T^2}{2\pi c^2\hbar^2}\right)^{-1} = 3\frac{\zeta(3)}{\zeta(2)}k_B$$